## REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

Valid Civil College (California)	· · · · · · · · · · · · · · · · · · ·	1	
1. REPORT DATE (DD-MM-YYYY) 06-05-2004	REPRINT	5a. CONTRACT NUMBER	
4. TITLE AND SUBTITLE Parameterizing the Wind 3D			
•		5b. GRANT NUMBER	
•	•	<b>5c. PROGRAM ELEMENT NUMBER</b> 61102F	
6.AUTHOR(S) S.W. Kahler, N.U. Crooker* and D.E. Larson**		5d. PROJECT NUMBER 2311	
		<b>5e. TASK NUMBER</b> RD	
		5f. WORK UNIT NUMBER A1	

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)

Air Force Research Laboratory/VSBXS 29 Randolph Road Hanscom AFB MA 01731-3010

20040511 019

9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)	10. SPONSOR/MONITOR'S ACRONYM(S) AFRL/VSBXS		
	11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
	AFRL-VS-HA-TR-2004-1063		

12. DISTRIBUTION / AVAILABILITY STATEMENT

Approved for Public Release; Distribution Unlimited.

\*Center for Space Physics, Boston Univ, MA; \*\*Space Sciences Lab, Univ Calif, Berkeley, CA

13. SUPPLEMENTARY NOTES

REPRINTED FROM: SOLAR WIND TEN (EDITORS M. VELLI ET AL), AIP CONFERENCE PROCEEDINGS, Vol 679, NEW YORK, 2003.

#### 14. ABSTRACT

Abstract. Solar wind heat flux (HF) electrons are valuable as tracers of the interplanetary magnetic field (IMF) topology, distinguishing positive from negative solar polarities and indicating the presence of magnetically closed CMEs when the flows are counterstreaming. All past applications of heat fluxes to determine field topologies have been based on visual inspection of color spectrograms of electron pitch angle distributions (PADs). However, HF PADs can take a range of shapes and amplitudes, which challenges the visual analysis. We now take a quantitative approach to HF analysis by parameterizing the HF PADs of the UC Berkeley 3DP data with a Fourier harmonic analysis. We have calculated the harmonic cosine coefficients  $A_0$ through  $A_4$  for a five-year period of the Wind 3DP data set with a 10-min time resolution. With these data we intend to derive quantitative criteria for unidirectional and bi-directional flows and other possible diagnostics of interplanetary field dynamics or configurations. Some initial considerations and results of the 3DP parameterization are presented.

15. SUBJECT TERMS Interplanetary magnetic fields Sources of the solar wind		Solar wind plasma Charged particle motion			
16. SECURITY CLASSIFICATION OF:		17. LIMITATION 18. NUMBER OF ABSTRACT OF PAGES	19a. NAME OF RESPONSIBLE PERSON S. W. Kahler		
a. REPORT UNCLAS	UNCLAS	c. THIS PAGE UNCLAS	SAR	4	19b. TELEPHONE NUMBER (include area code) 781-377-9665

# DISTRIBUTION STATEMENT A

Approved for Public Release Distribution Unlimited

# Parameterizing the Wind 3DP Heat Flux Electron Data

S.W. Kahler\*, N.U. Crooker<sup>†</sup> and D.E. Larson\*\*

\*Space Vehicles Directorate, Air Force Research Laboratory

†Center for Space Physics, Boston University

\*\*Space Sciences Laboratory, University of California

Abstract. Solar wind heat flux (HF) electrons are valuable as tracers of the interplanetary magnetic field (IMF) topology, distinguishing positive from negative solar polarities and indicating the presence of magnetically closed CMEs when the flows are counterstreaming. All past applications of heat fluxes to determine field topologies have been based on visual inspection of color spectrograms of electron pitch angle distributions (PADs). However, HF PADs can take a range of shapes and amplitudes, which challenges the visual analysis. We now take a quantitative approach to HF analysis by parameterizing the HF PADs of the UC Berkeley 3DP data with a Fourier harmonic analysis. We have calculated the harmonic cosine coefficients  $A_0$  through  $A_4$  for a five-year period of the Wind 3DP data set with a 10-min time resolution. With these data we intend to derive quantitative criteria for unidirectional and bi-directional flows and other possible diagnostics of interplanetary field dynamics or configurations. Some initial considerations and results of the 3DP parameterization are presented.

### 1. INTRODUCTION

Solar-wind heat flux electrons have provided a powerful tool for determining the solar magnetic polarities of the interplanetary magnetic field (IMF) [1, 2]. Those electrons, with energies  $E \geq 80$  eV, stream antisunward parallel to positive polarity field lines and antiparallel to negative polarity field lines, independently of the local field directions. By determining whether the pitch angle distribution (PAD) is concentrated at 0° or 180°, i.e., parallel or antiparallel to the field, respectively, we can establish the solar polarities of the IMF. It is possible to detect fields locally turned back to the Sun or, with bidirectional electron (BDE) flows, the closed fields of ICMEs (Figure 1).

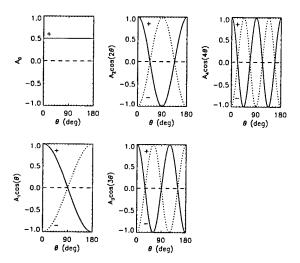
The first plots of HF flow directions were based on a solar-pointing coordinate system and required a visual comparison of the observed IMF direction with the HF flow direction to estimate the PAD (e.g., [3, 4]). HF plots from current spacecraft are done in a coordinate system fixed on the IMF direction, which immediately yields the PAD. While simplifying the analysis, it does not avoid the considerable variation among PADs (e.g., [5, 6]) which sometimes leaves the net HF flow direction or the presence of bidirectionality [3] in doubt. In addition, the PADs are usually plotted with a color table which may or may not be normalized for the total number of electrons observed in the PADs. The recent reports of HF depletions at 90° PA [6, 7] suggest another possible HF diagnostic of IMF structure, but the identification and magnitude of these features is compromised when we are

FIGURE 1. Schematic illustrations of open fields of the IMF locally turned back to the Sun (left) and a closed field topology of an ICME (right). The polarity of the turned back fields can be inferred from the primary direction of the HF PAD, and the closed fields are indicated by bidirectional HF PADs.

limited to a subjective analysis based on the color-coded PAD contour plots.

#### 2. ANALYSIS

To provide quantitative diagnostics for the different types of PADs, we are beginning to analyze the 3DP [8] HF electron PAD data in terms of Fourier cosine harmonics using the following least-squares fit to the



**FIGURE 2.** Graphical presentations of the terms of the fits to equation (1). The  $A_2$  and  $A_4$  terms are important for bidirectionality and depletions, respectively. Dotted lines show profiles of negative values.

## 13-point HF PAD intensities

$$\log I(\theta) = A_0 + A_1 \cos(\theta) + A_2 \cos(2\theta) + A_3 \cos(3\theta) + A_4 \cos(4\theta)$$

where  $\theta$  is the PA, which ranges from 0° to 180°. Because of the large dynamic range of the HF intensities, we do a logarithmic rather than a linear fit to the intensity I. Plots of the five harmonic terms as functions of  $\theta$  are shown in Figure 2. Note that a bidirectional flow [3] should have a significant positive  $A_2$  term and a depletion [6, 7] a significant negative  $A_4$  term. We have now calculated the five harmonic components for all the WIND 3DP HF data from 1995 through 1999 in 10-min intervals for electron energies of 125, 250, and 500 eV.

To examine the signatures of the various  $A_n$  we selected 3 days with very different characteristic PADs (Figure 3): 1 Jan 1995 has a poorly defined and relatively flat PAD; 3 Aug 1996 has a well defined 0° PAD and 25 Jun 1998 has a BDE PAD, with peaks at 0° and 180°, most of the day.

## 2.1. A<sub>2</sub> and the BDE Diagnostic

 $A_2$  is the key parameter for detecting BDE flows. Figure 4 shows the plot of  $\log I(\theta)$  for various ratios of  $A_2/A_1$  when  $A_2$  and  $A_1 > 0$ . We see that bidirectionality is not apparent until  $A_2/A_1 \sim 1$ . Selecting an arbitrary value of  $A_2/A_1$  to define periods of bidirectionality will be a critical choice for our anticipated 3DP survey. We note that Richardson and Reames [9] chose  $A_2/A_1 > 0.8$  for their survey of bidirectional energetic ( $\sim 1$  MeV) ion

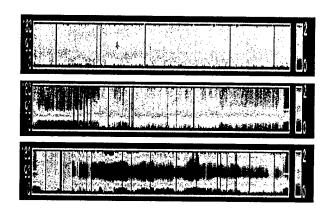
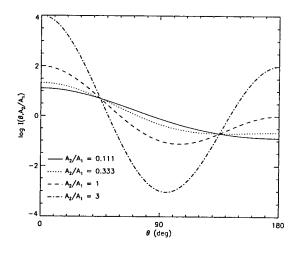


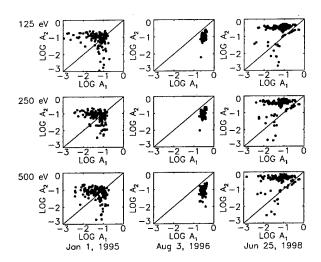
FIGURE 3. Three days with very different 3DP 260 eV electron PADs: top, flat PADs of 1 Jan 1995; middle, directed PADs of 3 Aug 1996; bottom, BDE PADs of 25 Jun 1998. The PA scales extend from 0° on the bottom to 180° at the top.



**FIGURE 4.** Plot of log  $I(\theta)$  for four different ratios of  $|A_2|/|A_1|$  with other  $A_n$  set to 0.

flows.

Our goal here is to get a general impression of the parametric variations among the three days with the very different PADs as determined by eye. We plotted  $log(|A_2|)$  against  $log(|A_1|)$  for the 10-min intervals of each of the three selected days and at each of the three electron energies used in the harmonic analysis. Figure 5 shows the results. The diagonal lines of the plots enable us to compare the magnitudes of  $A_2$  with  $A_1$ . We see first that the plots are very similar for each of the three selected electron energies, suggesting that the particular energy range chosen as the standard for analysis is not critical. We also find that  $A_2$ , nearly always positive throughout each period, exceeds 0.2 for most of the BDE



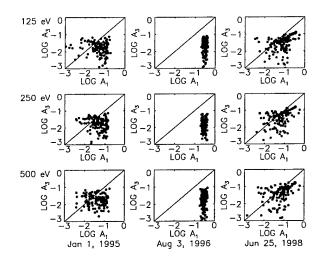
**FIGURE 5.** Plot of  $\log(|A_2|)$  against  $\log(|A_1|)$  for the three different electron energies and for the three different PAD distributions shown in Figure 3. The right column contains points from the day of obvious BDE PADs. Above the diagonal lines  $|A_2| > |A_1|$ .

PAD points, but is rarely that large in the flat and directed PADs. In addition, while  $A_2 > A_1$  in the BDE PADs and  $A_2 < A_1$  in the directed PADs, perhaps as expected, we also find that usually  $A_2 > A_1$  in the flat PADs. As a possible strategy for selecting criteria for BDEs, we could plot  $A_2$  versus  $A_1$  data points for the whole 1995-1999 period, looking for a separate population of points in the approximate ranges defined by  $A_2 \ge A_1$  and  $A_2 > 0.2$ .

## 2.2. A<sub>3</sub> and the HF Flow Diagnostic

The most important goal in this analysis is to determine when we have a sufficiently clearly defined HF flow direction to decide the IMF polarity. From Figure 2 we see that when  $A_3$  and  $A_1$  have the same sign and  $A_3 >$ A<sub>1</sub>, the flow direction is reversed from that indicated by  $A_1$  alone. In Figure 6 we show plots of  $log(|A_3|)$  against  $log(|A_1|)$ . Points above the diagonal line indicate cases in which A<sub>3</sub> is large enough to result in a net HF flow reversed from that of A<sub>1</sub> if both terms have the same sign. A substantial number of points in the flat and BDE PADs suggest such reverse flows. However, a complication here is that about 20-30% of the A<sub>3</sub> points have signs opposite those of the corresponding A<sub>1</sub> values and therefore enhance the net HF flow. In addition, large values of A2 could act to diminish the net HF flows determined from the  $A_1$  and  $A_3$  values alone.

The ambiguities involved in trying to sort out a set of relationships among  $A_1$ ,  $A_2$ , and  $A_3$  suggest that a better approach may be simply to calculate the net HF

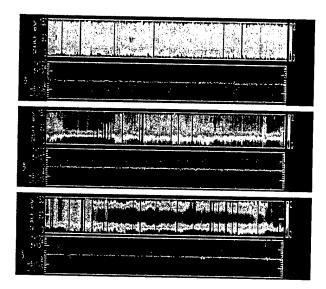


**FIGURE 6.** Plots of  $\log(|A_3|)$  against  $\log(|A_1|)$  with the same format as in Figure 5.

Qe over all electron energies. We have done this and show the plots for the three days in Figure 7. The red trace is Q<sub>e</sub> parallel to the magnetic field direction, and the purple and green traces show the Q<sub>e</sub> in directions orthogonal to the IMF. The magnitudes of the purple plots, calculated along the axis perpendicular to the plane of the IMF direction and the Sun, are comparable to the uncertainties of the calculated Q<sub>e</sub> along the IMF and can be used as filters for valid HF flow directions. In particular, we see that for much of the 1 Jan 1995 flat PAD plot the magnitudes of many of the perpendicular HF points are comparable to the matching points of the parallel points, suggesting poorly defined HF directions. On the other hand, the parallel HF is much greater than the perpendicular HFs for all of the directed PAD plot of 3 August 1996. Note that the HF calculation used here does not produce the systematic 20° deviations between the Q<sub>e</sub> and B directions found by Salem et al. [10].

## 2.3. A<sub>4</sub> and Depletions

The inclusion of the  $A_4$  harmonic shown in Figure 2 enables us to look for the cases of depletions [6, 7], the significant decreases symmetrically centered on 90° PA. Figure 8 shows plots of  $\log(A_4)$  against  $\log(A_2)$  with the positive and negative values of  $\log(A_4)$  plotted on separate panels. Recall that  $A_2$  is nearly always positive in our selected data sets, producing a broad PAD depression at 90°. A negative  $A_4$  term is consistent with the further narrow, symmetric depression at 90° that characterizes depletions. In most cases of Figure 8  $|A_4| < |A_2|$ , and  $A_4$  is negative (bottom panels). While these limited



**FIGURE 7.** Pairs of 3DP 260 eV PADs (top) and  $Q_e$  (bottom) for the same three days of Figure 3. In the  $Q_e$  plots the red trace shows  $Q_e$  along the IMF direction, the purple along a perpendicular to the plane of the IMF direction and the Sun and the green along the third orthogonal axis.

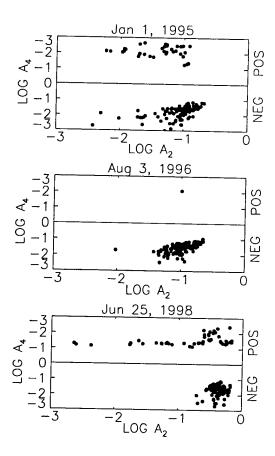
results suggest that depletions may be defined by the criteria that  $A_4 < 0$  and  $|A_4| > 0.5 \times |A_2|$ , a much larger survey of the 3DP data parameters will be required to justify those criteria.

### 3. DISCUSSION

The five-year data base of the 3DP HF electron data has been analyzed in terms of the first four Fourier cosine coefficients over 10-min averages. We intend to develop quantitative criteria for the  $A_n$  coefficients that will enable us to determine IMF solar polarities and to find periods of BDEs and depletions. In this initial work we have examined characteristic parametric tradeoffs among the  $A_n$  for three selected days with very different kinds of PADs.

## **ACKNOWLEDGMENTS**

This work was supported by NASA under grants NAG5-10856 at Boston University and DPR W-19,926 at the Air Force Research Laboratory. We thank A. Ling for generating the computer plots.



**FIGURE 8.** Plots of  $log(A_4)$  versus  $log(A_2)$  for the three days of the study. Here we distinguish between the positive (upper panels) and negative (lower panels) signs of  $A_4$ . The predominately negative values of  $A_4$  are expected when PAD depletions [6, 7] occur.

### REFERENCES

- Kahler, S., and Lin, R.P., Geophys. Res. Let., 21, 1575, 1994.
- Kahler, S.W., in *Coronal Mass Ejections*, edited by N. Crooker et al., Geophys. Mon. 99, AGU, p.197, 1997.
- 3. Gosling, J.T., et al., J. Geophys. Res., 92, 8519, 1987.
- Kahler, S.W., Crooker, N.U., and Gosling, J.T., J. Geophys. Res., 101, 24373, 1996.
- 5. Feldman, W.C., et al., Geophys. Res. Let., 26, 2613, 1999.
- 6. Gosling, J.T., Skoug, R.M., and Feldman, W.C., *Geophys. Res. Let.*, 28, 4155, 2001.
- Gosling, J.T., Skoug, R.M., Feldman, W.C., and McComas, D.J., Geophys. Res. Let., in press, 2002.
- 8. Lin, R.P., et al., Space Sci. Rev., 71, 125, 1995.
- Richardson, I.G., and Reames, D.V., Ap. J. Suppl. Ser., 85, 411, 1993.
- 10. Salem, C., et al., J. Geophys. Res., 106, 21701, 2001.